CISC 372: Parallel Computing Threads, part 2: data races, mutexes, and critical sections

Stephen F. Siegel

Department of Computer and Information Sciences University of Delaware

Next example: add_pthread.c

- \blacktriangleright should sum integers from 1 to *n*
 - where n is the number of threads created
 - *n* is the command line arg
- ▶ result should be n(n+1)/2

add_pthread.c

```
int nthreads; // number of threads to create
int sum = 0;
void* hello(void* arg) {
  int * tidp = (int*)arg;
  sum += (*tidp)+1;
  return NULL:
ን
int main(int argc, char *argv[]) {
  nthreads = atoi(argv[1]);
  pthread_t threads[nthreads]:
  int tids[nthreads];
  for (int i=0; i<nthreads; i++) tids[i] = i;</pre>
  for (int i=0: i<nthreads: i++)</pre>
    pthread_create(threads + i, NULL, hello, tids + i);
  for (int i=0: i<nthreads: i++)</pre>
    pthread_join(threads[i], NULL);
  printf("The sum is %d\n", sum);
}
```

Testing add_pthread.c

```
basie:add siegel$ ./add_pthread.exec 20
The sum is 210
```

The program must be correct, right?

▲□▶▲□▶▲□▶▲□▶ □ クタウ

Try it 1000 times...

Try it 1000 times...

```
basie:add siegel$ for i in {1..1000}; do ./add_pthread.exec 20; done
The sum is 210
The sum is 210
The sum is 210
The sum is 208
...
```

Hmmm...

Better yet, collate the results:

for i in {1..1000}; do ./add_pthread.exec 20; done | sort -n | uniq -c

Better yet, collate the results:

for i in {1..1000}; do ./add_pthread.exec 20; done | sort -n | uniq -c

1	The	\mathtt{sum}	is	176
1	The	sum	is	178
1	The	sum	is	179
1	The	sum	is	184
2	The	\mathtt{sum}	is	188
3	The	sum	is	189
7	The	sum	is	190
11	The	\mathtt{sum}	is	191
12	The	sum	is	192
7	The	sum	is	193
8	The	sum	is	194
11	The	sum	is	195
7	The	sum	is	196

7	The	sum	is	197
13	The	sum	is	198
9	The	sum	is	199
11	The	\mathtt{sum}	is	200
9	The	\mathtt{sum}	is	201
11	The	sum	is	202
9	The	\mathtt{sum}	is	203
10	The	\mathtt{sum}	is	204
10	The	sum	is	205
1	The	sum	is	206
158	The	\mathtt{sum}	is	208
91	The	sum	is	209
589	The	sum	is	210

What went wrong?

a data race

x+=y really consists of several machine-level steps:

- read x into a register
- read y into a register
- compute the sum and store it in x

if two threads are executing concurrently, this might happen:

- 1. thread 1: read x
- 2. thread 2: read x
- 3. thread 1: read v
- 4. thread 2: read v
- 5. thread 1: compute sum and store it in x
- 6. thread 2: compute sum and store it in x
- the contribution from thread 1 is overwritten!
- worse:
 - total garbage could be written to x

compiler could change code in some unpredictable way based on assumption there is no race ▲ロト ▲園 ト ▲ 臣 ト ▲ 臣 ト ● ○ ○ ○ ○

- A data race occurs whenever
 - ▶ two threads can access the same memory location concurrently, and
 - at least one of the accesses is a write.

- A data race occurs whenever
 - ▶ two threads can access the same memory location concurrently, and
 - ▶ at least one of the accesses is a write.

Two kinds of data races:

- read-write: one thread reads and the other writes, or
- write-write: both threads write

◆□ > ◆□ > ◆豆 > ◆豆 > ̄豆 - つくで

- A data race occurs whenever
 - ▶ two threads can access the same memory location concurrently, and
 - at least one of the accesses is a write.

Two kinds of data races:

- read-write: one thread reads and the other writes, or
- write-write: both threads write

A data race in a Pthreads program results in undefined behavior.

- A data race occurs whenever
 - ▶ two threads can access the same memory location concurrently, and
 - at least one of the accesses is a write.

Two kinds of data races:

- read-write: one thread reads and the other writes, or
- write-write: both threads write

A data race in a Pthreads program results in undefined behavior.

The program could do "anything" (crash, return weird results,...)

- A data race occurs whenever
 - ▶ two threads can access the same memory location concurrently, and
 - at least one of the accesses is a write.

Two kinds of data races:

- read-write: one thread reads and the other writes, or
- write-write: both threads write

A data race in a Pthreads program results in undefined behavior.

The program could do "anything" (crash, return weird results,...)

You can not assume the value written will be one of the two possible "reasonable" values.

- A data race occurs whenever
 - ▶ two threads can access the same memory location concurrently, and
 - at least one of the accesses is a write.

Two kinds of data races:

- read-write: one thread reads and the other writes, or
- write-write: both threads write

A data race in a Pthreads program results in undefined behavior.

The program could do "anything" (crash, return weird results,...)

You can not assume the value written will be one of the two possible "reasonable" values.

8

it is the programmer's responsibility to avoid all data races





Threads





mutex = "mutual exclusion lock"





◆□ > ◆□ > ◆豆 > ◆豆 > ̄豆 - つくで

- mutex = "mutual exclusion lock"
- used to guarantee that at most one thread can access a shared object at any time





- mutex = "mutual exclusion lock"
- used to guarantee that at most one thread can access a shared object at any time
- many variations possible; for now, use default settings





- mutex = "mutual exclusion lock"
- used to guarantee that at most one thread can access a shared object at any time
- many variations possible; for now, use default settings
- supports "lock" and "unlock" operations





- mutex = "mutual exclusion lock"
- used to guarantee that at most one thread can access a shared object at any time
- many variations possible; for now, use default settings
- supports "lock" and "unlock" operations
- in this example, a single mutex is used to control access to sum





- mutex = "mutual exclusion lock"
- used to guarantee that at most one thread can access a shared object at any time
- many variations possible; for now, use default settings
- supports "lock" and "unlock" operations
- in this example, a single mutex is used to control access to sum
- each thread obtains the lock before reading and modifying sum
- ...and releases lock when it is done





- mutex = "mutual exclusion lock"
- used to guarantee that at most one thread can access a shared object at any time
- many variations possible; for now, use default settings
- supports "lock" and "unlock" operations
- in this example, a single mutex is used to control access to sum
- each thread obtains the lock before reading and modifying sum
- ...and releases lock when it is done
- ▶ a thread will block when trying to obtain the lock if another thread owns the lock

add_pthread_fix.c

```
int nthreads. sum = 0:
pthread_mutex_t mutexsum;
void* hello(void* arg) {
  int * tidp = (int*)arg;
  pthread_mutex_lock(&mutexsum);
  sum += (*tidp)+1;
  pthread_mutex_unlock(&mutexsum);
 return NULL;
}
int main (int argc, char *argv[]) {
  nthreads = atoi(argv[1]);
  pthread_t threads[nthreads]:
  int tids[nthreads]:
  pthread_mutex_init(&mutexsum, NULL);
  for (int i=0: i<nthreads: i++) tids[i] = i:</pre>
  for (int i=0; i<nthreads; i++) pthread_create(threads + i, NULL, hello, tids + i);
  for (int i=0; i<nthreads; i++) pthread_join(threads[i], NULL);</pre>
  pthread_mutex_destroy(&mutexsum);
  printf("The sum is %d\n", sum);
}
```

Test add_pthread_fix.c

for i in {1..1000}; do ./add_pthread_fix.exec 20; done | sort -n | uniq -c

Test add_pthread_fix.c

for i in {1..1000}; do ./add_pthread_fix.exec 20; done | sort -n | uniq -c

1000 The sum is 210

▲□▶ ▲圖▶ ▲国▶ ▲国▶ - 国 - のへで

the semantics of a concurrency primitive can be specified by

- 1. a model of the state, and
- 2. specifying the atomic operations that change the state

the semantics of a concurrency primitive can be specified by

- 1. a model of the state, and
- 2. specifying the atomic operations that change the state

mutex state

- the state is either a reference to one thread or NULL
- the thread that "owns" the locked lock, or the lock is open

- the semantics of a concurrency primitive can be specified by
 - $1.\,$ a model of the state, and
 - 2. specifying the atomic operations that change the state
- mutex state
 - the state is either a reference to one thread or NULL
 - the thread that "owns" the locked lock, or the lock is open
- atomic actions
 - lock
 - if the state is NULL, a thread t may execute this action and the state becomes t
 - if the state is non-null, t will block

- the semantics of a concurrency primitive can be specified by
 - $1.\,$ a model of the state, and
 - 2. specifying the atomic operations that change the state
- mutex state
 - the state is either a reference to one thread or NULL
 - the thread that "owns" the locked lock, or the lock is open
- atomic actions
 - lock
 - if the state is NULL, a thread t may execute this action and the state becomes t
 - if the state is non-null, t will block
 - unlock: if the state is t then t may execute this action and state becomes NULL

- the semantics of a concurrency primitive can be specified by
 - $1.\,$ a model of the state, and
 - 2. specifying the atomic operations that change the state
- mutex state
 - the state is either a reference to one thread or NULL
 - the thread that "owns" the locked lock, or the lock is open
- atomic actions
 - lock
 - \blacktriangleright if the state is NULL, a thread t may execute this action and the state becomes t
 - if the state is non-null, t will block
 - unlock: if the state is t then t may execute this action and state becomes NULL
- all other actions: undefined
 - a thread that does not own the lock attempts to unlock it
 - a thread that owns the lock attempts to lock it

- the semantics of a concurrency primitive can be specified by
 - $1.\,$ a model of the state, and
 - 2. specifying the atomic operations that change the state
- mutex state
 - the state is either a reference to one thread or NULL
 - the thread that "owns" the locked lock, or the lock is open
- atomic actions
 - lock
 - if the state is NULL, a thread t may execute this action and the state becomes t
 - if the state is non-null, t will block
 - unlock: if the state is t then t may execute this action and state becomes NULL
- all other actions: undefined
 - a thread that does not own the lock attempts to unlock it
 - a thread that owns the lock attempts to lock it
- this is all for basic mutexes; other variations are more lenient

> a mutex is typically used to control access to some shared data

- a mutex is typically used to control access to some shared data
- this is purely a programming convention
 - no formal relationship between the mutex and the data
 - programmer should document the relationship clearly

- a mutex is typically used to control access to some shared data
- this is purely a programming convention
 - no formal relationship between the mutex and the data
 - programmer should document the relationship clearly
- typical control flow:
 - 1. obtain lock;
 - 2. access the shared data;
 - 3. release the lock;

- a mutex is typically used to control access to some shared data
- this is purely a programming convention
 - no formal relationship between the mutex and the data
 - programmer should document the relationship clearly
- typical control flow:
 - 1. obtain lock;
 - 2. access the shared data;
 - 3. release the lock;
- do this wherever the data is accessed!
 - if you miss one case, all bets are off

▲□▶ ▲圖▶ ▲国▶ ▲国▶ - 国 - のへで

type

pthread_mutex_t : opaque handle to a mutex

type

pthread_mutex_t : opaque handle to a mutex

functions

int	pthread_mutex_init	(<pre>pthread_mutex_t * mutex,</pre>	
			<pre>pthread_mutexattr_t * attr</pre>);
int	$pthread_mutex_destroy$	(<pre>pthread_mutex_t * mutex</pre>);
int	pthread_mutex_lock	(<pre>pthread_mutex_t * mutex</pre>);
int	pthread_mutex_unlock	(<pre>pthread_mutex_t * mutex</pre>);

type

pthread_mutex_t : opaque handle to a mutex

functions

int	pthread_mutex_init	(<pre>pthread_mutex_t * mutex,</pre>	
			<pre>pthread_mutexattr_t * attr</pre>);
int	$pthread_mutex_destroy$	(<pre>pthread_mutex_t * mutex</pre>);
int	pthread_mutex_lock	(<pre>pthread_mutex_t * mutex</pre>);
int	pthread_mutex_unlock	(<pre>pthread_mutex_t * mutex</pre>);

use NULL for the attribute argument for now

◆□ > ◆□ > ◆豆 > ◆豆 > ̄豆 - つくで

type

pthread_mutex_t : opaque handle to a mutex

functions

int	pthread_mutex_init	(<pre>pthread_mutex_t * mutex,</pre>	
			<pre>pthread_mutexattr_t * attr</pre>);
int	$pthread_mutex_destroy$	(<pre>pthread_mutex_t * mutex</pre>);
int	pthread_mutex_lock	(<pre>pthread_mutex_t * mutex</pre>);
int	pthread_mutex_unlock	(<pre>pthread_mutex_t * mutex</pre>);

use NULL for the attribute argument for now

▶ all functions return error code (0=success)

▲□▶ ▲□▶ ▲□▶ ▲□▶ ▲□ ● のへで

different fields of a struct occupy distinct memory locations

- different fields of a struct occupy distinct memory locations
- different cells of an array are different memory locations

- different fields of a struct occupy distinct memory locations
- different cells of an array are different memory locations
- > a mutex is not required if each thread is accessing its own section of the array/struct

- different fields of a struct occupy distinct memory locations
- different cells of an array are different memory locations
- > a mutex is not required if each thread is accessing its own section of the array/struct
- however performance problems are possible
 - the cache system may have to constantly reload the line containing your cell
 - if another thread is accessing a nearby cell in the same line

▲□▶ ▲圖▶ ▲国▶ ▲国▶ - 国 - のへで

> another common concurrent program pattern:

```
while (true) {
    enter critical section
    CRITICAL SECTION: only one thread at a time
    exit critical section
    NON-CRITICAL SECTION: any number of threads can execute
}
```

another common concurrent program pattern:

```
while (true) {
    enter critical section
    CRITICAL SECTION: only one thread at a time
    exit critical section
    NON-CRITICAL SECTION: any number of threads can execute
}
```

▶ the problem: design entrance/exit protocols (and appropriate state) such that

another common concurrent program pattern:

```
while (true) {
    enter critical section
    CRITICAL SECTION: only one thread at a time
    exit critical section
    NON-CRITICAL SECTION: any number of threads can execute
}
```

▶ the problem: design entrance/exit protocols (and appropriate state) such that

1. deadlock-free

another common concurrent program pattern:

```
while (true) {
    enter critical section
    CRITICAL SECTION: only one thread at a time
    exit critical section
    NON-CRITICAL SECTION: any number of threads can execute
}
```

the problem: design entrance/exit protocols (and appropriate state) such that

- 1. deadlock-free
- 2. no unnecessary delay
 - a thread that is trying to enter the critical section when no one else is in the critical section will enter without delay

another common concurrent program pattern:

```
while (true) {
    enter critical section
    CRITICAL SECTION: only one thread at a time
    exit critical section
    NON-CRITICAL SECTION: any number of threads can execute
}
```

the problem: design entrance/exit protocols (and appropriate state) such that

- 1. deadlock-free
- 2. no unnecessary delay
 - a thread that is trying to enter the critical section when no one else is in the critical section will enter without delay

3. mutual exclusion: at most one thread in critical section at any time

another common concurrent program pattern:

```
while (true) {
    enter critical section
    CRITICAL SECTION: only one thread at a time
    exit critical section
    NON-CRITICAL SECTION: any number of threads can execute
}
```

the problem: design entrance/exit protocols (and appropriate state) such that

- 1. deadlock-free
- 2. no unnecessary delay
 - a thread that is trying to enter the critical section when no one else is in the critical section will enter without delay
- 3. mutual exclusion: at most one thread in critical section at any time
- 4. fairness (or, no starvation)
 - if a thread is trying to enter the critical section then eventually it will succeed (after some finite delay)

- 1. deadlock-free
- 2. no unnecessary delay
 - a thread that is trying to enter the critical section when no one else is in the critical section will enter without delay
- 3. mutual exclusion: at most one thread in critical section at any time
- 4. fairness (or, no starvation)
 - if a thread is trying to enter the critical section then eventually it will succeed (after some finite delay)
- Solution #1: use a mutex
 - see crit_sec_mutex.c
 - which properties hold?

- 1. deadlock-free
- 2. no unnecessary delay
 - a thread that is trying to enter the critical section when no one else is in the critical section will enter without delay
- 3. mutual exclusion: at most one thread in critical section at any time
- 4. fairness (or, no starvation)
 - if a thread is trying to enter the critical section then eventually it will succeed (after some finite delay)
- Solution #1: use a mutex
 - see crit_sec_mutex.c
 - which properties hold?
 - ▶ 1–3 : yes
 - What about 4?

- 1. deadlock-free
- 2. no unnecessary delay
 - a thread that is trying to enter the critical section when no one else is in the critical section will enter without delay
- 3. mutual exclusion: at most one thread in critical section at any time
- 4. fairness (or, no starvation)
 - if a thread is trying to enter the critical section then eventually it will succeed (after some finite delay)

- Solution #1: use a mutex
 - see crit_sec_mutex.c
 - which properties hold?
 - ▶ 1–3 : yes
 - ▶ What about 4? *Not necessarily.* This is a hard problem.

- 1. deadlock-free
- 2. no unnecessary delay
 - a thread that is trying to enter the critical section when no one else is in the critical section will enter without delay
- 3. mutual exclusion: at most one thread in critical section at any time
- 4. fairness (or, no starvation)
 - if a thread is trying to enter the critical section then eventually it will succeed (after some finite delay)
- Solution #1: use a mutex
 - see crit_sec_mutex.c
 - which properties hold?
 - ▶ 1–3 : yes
 - ▶ What about 4? *Not necessarily.* This is a hard problem.
 - ▶ famous solutions: Lamport's bakery algorithm, Peterson's mutual exclusion algorithm